

Roper Gulf Regional Council

CL007 - Caretaker Policy

1. POLICY CERTIFICATION

Policy title:	Caretaker Policy
Policy number:	CL007
Category:	Policy
Classification:	Council
Status:	Approved

2. PURPOSE

The Caretaker policy has been developed to ensure that the ordinary business of local government in the Roper Gulf region continues throughout the pre-election period in a responsible and transparent manner and that the elections for Roper Gulf Regional Council are conducted in a manner that is ethical, fair and equitable and is publicly perceived as such.

This policy restricts the Council from making major decisions, prior to election, which would:

- bind an incoming Council; or
- allow the use of public resources in ways that are seen as advantageous or disadvantageous to the electoral prospects of sitting elected members who are seeking re-election or new candidates.

3. ORGANISATIONAL SCOPE

This policy applies during a Caretaker Period to all elected members and employees and captures all major policy decisions of Council, a committee of the Council or a delegate of the Council.

4. POLICY STATEMENT

During an election period Council goes into caretaker mode to avoid actions and decisions that could be seen to influence voters or have a significant impact on the incoming Council.

The election period commences at the opening of nominations for candidates for the council elections and ends when the result of the general election is declared.

5. DEFINITIONS

Caretaker period	means the period of time during which the caretaker practices are in force prior to the declaration in General Election. The caretaker practices will apply from the close of nominations on Nomination Day until the declaration of the Results of the election in accordance with the <i>Local Government Act 2019</i> and its statutory instruments.
CEO	Chief Executive Officer of Roper Gulf Regional Council
Council	Roper Gulf Regional Council
Councillor	means an elected member of the Roper Gulf Regional Council
Deputy Mayor	means the Deputy Mayor of the Roper Gulf Regional Council
Election period	means the period commencing on – the day of the opening of nomination and expiring at the conclusion of the election

Roper Gulf Regional Council

CL007 - Caretaker Policy

Electoral matter	<p>means any matter which is intended or likely to affect voting in an election, but does not include:</p> <ul style="list-style-type: none"> • any electoral material produced by or on behalf of the returning officer for the purpose of conducting an election, or • an advertisement in a newspaper announcing the holding of a meeting.
Extra ordinary circumstances	<p>means as situation that requires a major policy decision of the Council because:</p> <ul style="list-style-type: none"> • in the CEO's opinion, the urgency of the issue is such that it cannot wait until after the election, • of the possibility of legal and/or financial repercussions if a decision is deferred, or • in the CEO's opinion, it is in the best interests of the Council for the decision to be made as soon as possible.
General election	<p>means a general election or periodic election held in accordance with the <i>Local Government Act 2019</i> and its statutory instruments.</p>
Major Policy Decisions	<p>means a decision to:</p> <ul style="list-style-type: none"> • enter into any contract or lease involving expenditure inclusive of GST that exceeds one percent (1%) of the annual budgeted revenue in the relevant financial year • appoint or terminate the appointment of a Chief Executive Officer, • make a decision relating to the Chief Executive Officer's remuneration, • spend unbudgeted monies, • conduct unplanned public consultation, • endorse a new policy; • dispose of Council asset, • approve community grants, or • progress any matter which is contentious or has been identified as an election issue. <p>Major policy decisions do not include:</p> <ul style="list-style-type: none"> • decisions that relate to the carrying out of works in response to an emergency or disaster, • an expenditure or other decision required to be taken under an agreement by which funding is provided to the Council by Federal or Northern Territory Government or otherwise for the Council to be eligible for funding from the Federal or Northern Territory Government, • the suspension of the CEO for serious and wilful misconduct, • the appointment of an acting CEO.
Mayor	<p>means the Mayor of the Roper Gulf Regional Council</p>
Nomination Day	<p>means Nomination Day as defined in the <i>Local Government Act 2019</i> and its statutory instruments.</p>
Public Consultation	<p>means a process which involves an invitation or invitations to individuals, groups or organisations or the community generally to comment on an issue or proposed policy, and includes discussion of that matter with the public.</p>
Significant Decisions	<p>means decisions other than major policy decisions that are of significant nature and would unreasonably bind the incoming council. It includes:</p>

Roper Gulf Regional Council

CL007 - Caretaker Policy

	<ul style="list-style-type: none"> • irrevocable decisions that commit the Council to substantial expenditure or major actions; and • irrevocable decisions that will have a major impact on the Roper Gulf Regional Council or the community.
--	--

6. Principles

6.1 Decision Making

Except in extraordinary circumstance the Council will not make Major Policy Decisions during the caretaker period.

Where extraordinary circumstances prevail, the CEO may submit a Major Policy Decision to the Council for its consideration.

If Council considers that there are extraordinary circumstances where the Roper Gulf Regional Council would be significantly disadvantaged by the Council not making a particular Major Policy Decision, the Council will, by resolution, seek an exemption from this policy and deal with the matter.

6.2 Scheduling Consideration and Announcement of Major Policy Decisions

As far as reasonably practicable, the CEO should avoid scheduling Major Policy Decisions for consideration during caretaker period, and instead ensure that such decisions are either considered by the Council prior to caretaker period or scheduled for determination by the incoming Council.

Announcements of earlier decisions may be made during a caretaker period however, as far as practicable, any such announcements should be made before the caretaker period begins or after it has concluded.

6.3 Use of Council Resources

The Council will ensure that due propriety is observed in the use of all Council resources, and Council staff are required to exercise appropriate discretion in that regard. In any circumstances where the use of Council resources might be construed as being related to a candidate's election campaign, advice will be sought from the Chief Executive Officer or the Director of Corporate Governance.

Council resources, including offices, support staff, hospitality services, equipment and stationery will be used exclusively for normal Council business during the pre-election caretaker period, and shall not be used in connection with any electioneering activity.

Reimbursements of Councillors' out-of-pocket and travel expenses during the pre-election caretaker period will only apply to costs that have been incurred in the performance of normal Council duties, and not for expenses that could be perceived as supporting or being connected with a candidate's election campaign.

No Council events, logos, letterheads, or other material such as photographs sourced by Council or other Roper Gulf Regional Council branding should be used for, or linked in any way to, a candidate's election campaign.

Photos or images taken or provided by Council are not to be used by Councillors for the purpose of electioneering or in support of their election campaign. This applies equally to images on Council's website that may be able to be copied.

Roper Gulf Regional Council

CL007 - Caretaker Policy

The Chief Executive Officer or any staff should not be asked to undertake any tasks connected directly or indirectly with electioneering.

No election material or active campaigning is to be conducted at Council sponsored events or displays.

6.4 Access to Information

All candidates will have equal rights to access public information and any assistance and advice provided to candidates as part of the conduct of the Council election will be provided equally to all candidates. However, the sitting Councillors shall continue to receive information that is necessary to fulfil their elected roles. Neither Councillors nor candidates will receive information or advice from Council staff that might be perceived to support election campaigns, and there shall be complete transparency in the provision of all information and advice during the pre-election caretaker period.

Information and briefing material prepared by staff for Councillors during the pre-election caretaker period will relate only to items on Council meeting agendas, or to existing Council services and programs.

6.5 Assistance to candidates

All candidates for the Council election will be treated equally.

Any assistance and advice provided to candidates as part of the conduct of the Council Election will be provided equally to all candidates. The types of assistance that are available will be documented and communicated to candidates in advance.

All election process enquiries from candidates, whether current Council Members or not, will be directed to the Director Corporate Governance or, to the Chief Executive Officer.

6.6 Communication

Council communication will not be used in anyway that might influence the outcome of an Council election.

No publicity will be provided that involves specific Councillors.

Publicity of Council events (if any during the election Caretaker Period) will be restricted to the communication of factual material and will not mention or quote any Councillor.

6.7 Media

No media advice or support will be provided in relation to election issues or in regard to publicity that involves specific Elected Members. If satisfied that advice sought by an elected member during the caretaker period does not relate to the election or publicity involving any specific Elected Member(s), the CEO may authorise the provision of response to such request.

Roper Gulf Regional Council

CL007 - Caretaker Policy

6.8 Council Publications

The Council will not print, publish or distribute any publications containing electoral matter during an election period.

A publication will be taken to be intended or likely to affect voting in an election if it contains an express or implicit reference to or comment on:

- the election, or
- a candidate in the election, or
- an issue submitted to, or otherwise before, the voters in connection with the election.

Any references to Councillor Candidates in Council publications printed, published or distributed during an election period must not include promotional text.

6.9 Website

During the election period Council's website will not contain material which is precluded by this Policy or the statutory requirements relating to publications. Any references to the election will only relate to the election process and any references to Councillor Candidates will not include promotional text.

Information about Councillors will be restricted to the names of the elected representatives and contact details, membership of Special Committees and other bodies to which they have been appointed by the Council.

6.10 Social Media

During Caretaker period any new publication on social media site such as Facebook that is under the auspice of Council will require certification by CEO before uploading and any matter that exists on social media pages that could be viewed as electoral matter shall be removed.

The public's ability to post comments on social media pages shall be disabled for the duration of the Caretaker period.

6.11 Public consultation

The Council will not commission or approve any public consultation where it is likely that such consultation will continue into the Caretaker Period.

This Policy does not prevent any mandatory public consultation required by the *Local Government Act 2019* and its statutory instruments, or any other applicable Act which is required to be undertaken to enable the Roper Gulf Regional Council to fulfil its functions.

6.12 Attendance and participation at Council organised activities and events

Events and/or functions organised by the Council and held during the Caretaker period shall be limited to only those that the CEO considers appropriate and should not in any way be associated with any issues that in the CEO's opinion, are considered relevant to, or likely to influence the outcome of, an election.

Councillors should refrain from delivering speeches or keynote addresses at Council organised or sponsored events and functions during the caretaker period other than protocol speeches, such as

Roper Gulf Regional Council

CL007 - Caretaker Policy

short welcome and thank you speeches. Any speech or address should have prior approval of the CEO or Director Corporate Governance.

Councillors may continue to attend events and functions which are staged by external organisations during the caretaker period.

This policy does not affect the scheduled meetings of Council or Council Committees, which shall continue as scheduled if they fall within the caretaker period. This policy does however apply to the agenda of a meeting which falls within the caretaker period.

6.13 Local Authority Meetings

Care-taker mode applies to election and electioneering related activities. Local Authority meetings shall carry on as scheduled, and are not affected by this policy.

7. IMPLEMENTATION AND DELEGATION

The CEO will be responsible for implementing the caretaker practices as outlined in this policy.

The CEO will ensure, as far as possible, that:

- all elected Members and staff are aware of the Caretaker Policy and practices at least 30 days prior to the start of the Caretaker period; and
- any major policy decisions required to be made by the Council are scheduled for Council consideration prior to the Caretaker period or deferred where possible for determination by the incoming Council.

8. REFERENCES

Acknowledgements (original author/source documents)	
Related Policies	
Related Publications	<ul style="list-style-type: none"> • <i>Local Government Act 2019</i> and its statutory instruments.
Relevant Forms	

9. DOCUMENT CONTROL

Policy number	CL007
Policy Owner	Governance and Corporate Planning
Endorsed by	OCM
Date approved	27 August 2014
Revisions	July 2017
Amendments	25 October 2017, 14 May 2021
Next revision due	June 2025

10. CONTACT PERSON

Contact person

Manager - Corporate Compliance

Roper Gulf Regional Council

CL007 - Caretaker Policy

Contact number

08 8972 9005