

MINUTES OF THE ROPER GULF REGIONAL COUNCIL, AUDIT AND RISK
COMMITTEE MEETING HELD AT THE ROPER ROOM, ROPER GULF REGIONAL
COUNCIL SUPPORT CENTRE,
2 CRAWFORD STREET, KATHERINE, NT
ON MONDAY, 19 FEBRUARY 2024 AT 10:00AM

1 PRESENT MEMBERS/STAFF/GUESTS

1.1 Members

- Independent Member Ian SWAN (Chairperson) (video conference);
- Independent Member Carolyn EAGLE (video conference);
- Independent Member Claudia GOLDSMITH (video conference); and
- Councillor John DALYWATER.

1.2 Staff

- Cindy HADDOW, General Manager Corporate Services and Sustainability;
- David HURST, General Manager Community Services and Engagement;
- Cristian COMAN, Manager Corporate Compliance;
- James SANDERS, Finance Manager;
- Bhumika ADHIKARI, Governance Officer; and
- Daniele Piga, Customer Service Officer (minute secretary).

1.3 Guests

Nil.

2 MEETING OPENED

The Audit Committee Meeting opened at 10:06 am.

3 WELCOME TO COUNTRY

4 APOLOGIES AND LEAVE OF ABSENCE

4.1 APOLOGIES AND LEAVE OF ABSENCE

1/2024 RESOLVED (Carolyn EAGLE/John DALYWATER)

CARRIED

That the Audit and Risk Committee accepts the tendered apology from Councillor Patricia FARRELL.

5 QUESTIONS FROM THE PUBLIC

6 CONFIRMATION OF PREVIOUS MINUTES

6.1 CONFIRMATION OF AUDIT AND RISK COMMITTEE PREVIOUS MINUTES - 16 AUGUST 2023

2/2024 RESOLVED (Claudia GOLDSMITH/Carolyn EAGLE) CARRIED

That the Audit and Risk Committee confirms the draft minutes of the Audit and Risk Committee Meeting held on 23 October 2023 and affirms them to be a true and accurate record of that meetings decisions and proceedings.

7 BUSINESS ARISING FROM PREVIOUS MINUTES

7.1 ACTION LIST

3/2024 RESOLVED (Claudia GOLDSMITH/Carolyn EAGLE) CARRIED

That the Audit and Risk Committee:

- (a) receives and notes the Action List;
- (b) approves the removal of completed items;
- (c) defers item 12.3 of Action List pertaining to contract outsourcing practices and associated activity, as raised by Chief Executive Officer and Chair at the next Committee meeting scheduled to be held on Wednesday, 12 June 2024; and
- (d) defers item 15.1 of Action List to provide comment on why the balance was negative at the next Committee meeting scheduled to be held on Wednesday, 12 June 2024.

8 CALL FOR ITEMS OF GENERAL BUSINESS

Nil.

9 DISCLOSURES OF INTEREST

There were no declarations of interest at this Audit Committee Meeting.

10 INCOMING CORRESPONDENCE

Nil.

11 OUTGOING CORRESPONDENCE

Nil.

12 GENERAL BUSINESS

Nil.

13 EXECUTIVE REPORTS

13.1 AUDIT AND RISK COMMITTEE MEMBER ATTENDANCE REPORT

4/2024 RESOLVED (Claudia GOLDSMITH/Carolyn EAGLE) CARRIED

That the Audit and Risk Committee receives and notes the Audit and Risk Committee Member Attendance Report.

14 COMMUNITY SERVICES AND ENGAGEMENT DIVISIONAL REPORT

Nil.

15 CORPORATE SERVICES AND SUSTAINABILITY DIVISIONAL REPORT

15.1 ACTIONS TO MAXIMISE RATES REVENUE

5/2024 RESOLVED (John DALYWATER/Claudia GOLDSMITH) CARRIED

That the Audit and Risk Committee receives and notes the actions to maximise rates revenue for Council;

15.2 COUNCIL'S FINANCIAL REPORT AS AT 31 DECEMBER 2023

6/2024 RESOLVED (Carolyn EAGLE/John DALYWATER) CARRIED

That the Audit and Risk Committee receives and notes the Council's Financial Report as at 31 December 2023.

15.3 ANNUAL REPORT 2022-23

7/2024 RESOLVED (Carolyn EAGLE/Claudia GOLDSMITH) CARRIED

That the Audit and Risk Committee receives and notes this report pertaining to Council's Annual Report for the Financial Year 2022-23

16 INFRASTRUCTURE SERVICES AND PLANNING DIVISIONAL REPORT

Nil.

17 CONFIDENTIAL ITEMS

MOVE TO CONFIDENTIAL ITEMS

20/2024 RESOLVED (John DALYWATER/Carolyn EAGLE) CARRIED

That pursuant to section 99(2) and 293(1) of the *Local Government Act 2019* and section 51(1)(a) of the *Local Government (General) Regulations 2021* the meeting be closed to the public to consider the Confidential items of the Agenda.

..

17.1 Confirmation of Previous Minutes of the Confidential Session - *The report will be conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(iv) (d), information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person; AND information subject to an obligation of confidentiality at law, or in equity.*

17.2 Action List - *The report will be conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(iii) (c)(iv) (d), information that would, if publicly disclosed, be likely to prejudice the security of the council, its members or staff; AND information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person; AND information subject to an obligation of confidentiality at law, or in equity.*

17.3 Nomination of a Chairperson - *The report will be conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(iv), information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person.*

17.4 Terms of Reference - *The report will be conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(iv), information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person.*

17.5 Audit Committee Workplan - *The report will be conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(iv),*

information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person.

17.6 Website Compliance - *The report will conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(iv), information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person.*

17.7 Update on current legal matters - *The report will conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(a) (c)(i), information about the employment of a particular individual as a member of the staff or possible member of the staff of the council that could, if publicly disclosed, cause prejudice to the individual; AND information that would, if publicly disclosed, be likely to cause commercial prejudice to, or confer an unfair commercial advantage on any person.*

17.8 Risk Register Update - *The report will conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(iii) (c)(iv), information that would, if publicly disclosed, be likely to prejudice the security of the council, its members or staff; AND information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person.*

17.9 CDP Right Fit For Risk Cyber Security Accreditation Program and Progress - *The report will conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(i) (c)(iii), information that would, if publicly disclosed, be likely to cause commercial prejudice to, or confer an unfair commercial advantage on any person; AND information that would, if publicly disclosed, be likely to prejudice the security of the council, its members or staff.*

17.10 Executive Report - Corporate Services and Sustainability - *The report will conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(iii) (c)(iv), information that would, if publicly disclosed, be likely to prejudice the security of the council, its members or staff; AND information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person.*

17.11 Tender Award for Provision of Audit Services for a period of 3 years. - *The report will conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(i), information that would, if publicly disclosed, be likely to cause commercial prejudice to, or confer an unfair commercial advantage on any person.*

17.12 ICT Transition Plan UPDATE - *The report will conducted in accordance with the Local Government Act 2019 and Local Government (General) Regulations 2021 s51(1)(c)(iv) (e), information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person; AND information provided to the council on condition that it be kept confidential and would, if publicly disclosed, be likely to be contrary to the public interest.*

The meeting moved to the Confidential Items at 11:05am.

RETURN TO OPEN

21/2024 RESOLVED (Claudia GOLDSMITH/Carolyn EAGLE)

CARRIED

That pursuant to section 99(2) and 293(1) of the Local Government Act 2019 and section 51(1)(a) of the Local Government (General) Regulations 2021 the meeting be re-opened to the public.

The meeting re-opened to the public at 12:31 pm.

18 ITEMS FOR NEXT MEETING

19 CLOSE OF MEETING

The meeting closed at 12:34 pm.

This page and the preceding pages are the minutes of the Audit and Risk Committee Meeting held on Monday, 19 February 2024 and will be confirmed .

Chairperson Ian SWAN
Confirmed on {insert date}