



MINUTES OF THE ROPER GULF REGIONAL COUNCIL, AUDIT AND RISK
COMMITTEE MEETING HELD AT THE ROPER ROOM, ROPER GULF REGIONAL
COUNCIL SUPPORT CENTRE,
2 CRAWFORD STREET, KATHERINE, NT
ON MONDAY, 31 OCTOBER 2022 AT 10:00AM

1 PRESENT MEMBERS/STAFF/GUESTS

1.1 Members

- Independent Member Ian SWAN (Chairperson);
- Independent Member Carolyn EAGLE; and
- Independent Member Claudia GOLDSMITH.

1.2 Staff

- Dave HERON, Acting Finance Manager;
- Cindy HADDOW, General Manager Corporate Services and Sustainability; and
- Chloe IRLAM, Governance Engagement Coordinator (minute taker).

1.3 Guests

Nil.

2 MEETING OPENED

The Audit Committee Meeting opened at 10:08am as a Provisional Meeting.

3 WELCOME TO COUNTRY

4 APOLOGIES AND LEAVE OF ABSENCE

4.1 APOLOGIES

110/2022 **RESOLVED (Claudia GOLDSMITH/Carolyn EAGLE)**

CARRIED

That the Audit and Risk Committee;

- (a) accepts the tendered apology from Councillor Gadrian HOOSAN; and
- (b) notes the absence with no tendered apologies from Councillor John DALYWATER.

5 QUESTIONS FROM THE PUBLIC

Nil.

6 CONFIRMATION OF PREVIOUS MINUTES

6.1 CONFIRMATION OF AUDIT AND RISK COMMITTEE PREVIOUS MINUTES - 17 AUGUST 2022

111/2022 RESOLVED (Carolyn EAGLE/Claudia GOLDSMITH)

CARRIED

That the Audit and Risk Committee confirms the draft minutes of the Audit and Risk Committee Meeting held on 17 August 2022 and affirms them to be a true and accurate record of that meetings decisions and proceedings.

7 BUSINESS ARISING FROM PREVIOUS MINUTES

Nil.

8 CALL FOR ITEMS OF GENERAL BUSINESS

- Staff Changes

9 DISCLOSURES OF INTEREST

There were no declarations of interest at this Audit Committee Meeting.

10 INCOMING CORRESPONDENCE

10.1 INCOMING CORRESPONDENCE

112/2022 RESOLVED (Claudia GOLDSMITH/Carolyn EAGLE)

CARRIED

That the Audit and Risk Committee;

- (a) notes the Incoming Correspondence;
- (b) requests an Attendance Report be a standing item on the Agenda;
- (c) requests that Chairperson SWAN write to Council to request the rescinding of membership from Councillor Gadrian HOOSAN from the Audit and Risk Committee and to fill the new vacant position;
- (d) requests the terms of reference be amended in regards to Apologies and Leave of absence, travel provisions, and appointment of an acting Chairperson; and
- (e) requests the Chairperson SWAN present an Audit Committee Functions report to the December 2022 Ordinary Meeting of Council.

11 OUTGOING CORRESPONDENCE

11.1 OUTGOING CORRESPONDENCE

113/2022 RESOLVED (Claudia GOLDSMITH/Carolyn EAGLE)

CARRIED

That the Audit and Risk Committee notes the Outgoing Correspondence.

12 GENERAL BUSINESS

12.1 STAFF CHANGES

That the Audit and Risk Committee receive and note the verbal update provided by the Acting Finance Manager in regards to managerial positions.

13 EXECUTIVE REPORTS

13.1 UPCOMING COMPLIANCE REVIEW

114/2022 RESOLVED (Carolyn EAGLE/Claudia GOLDSMITH)

CARRIED

That Audit and Risk Committee receives and notes the Upcoming Compliance Review report.

14 COMMUNITY SERVICES AND ENGAGEMENT DIVISIONAL REPORT

Nil.

15 CORPORATE SERVICES AND SUSTAINABILITY DIVISIONAL REPORT

Nil.

16 INFRASTRUCTURE SERVICES AND PLANNING DIVISIONAL REPORT

Nil.

17 CONFIDENTIAL ITEMS

MOVE TO CONFIDENTIAL ITEMS

115/2022 RESOLVED (Carolyn EAGLE/Claudia GOLDSMITH)

CARRIED

That pursuant to section 99(2) and 293(1) of the *Local Government Act 2019* and section 51(1)(a) of the *Local Government (General) Regulations 2021* the meeting be closed to the public to consider the Confidential items of the Agenda.

17.1 Action List - The report will conducted in accordance with the *Local Government Act 2019* and *Local Government (General) Regulations 2021 s51(1)(c)(iv)*, information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person.

17.2 ICAC Reporting - The report will conducted in accordance with the *Local Government Act 2019* and *Local Government (General) Regulations 2021 s51(1)(c)(iii)*, information that would, if publicly disclosed, be likely to prejudice the security of the council, its members or staff.

17.3 MYOB Update - The report will conducted in accordance with the *Local Government Act 2019* and *Local Government (General) Regulations 2021 s51(1)(c)(i)*, information that would, if publicly disclosed, be likely to cause commercial prejudice to, or confer an unfair commercial advantage on any person.

17.4 Risk Management Plan & Register - The report will conducted in accordance with the *Local Government Act 2019* and *Local Government (General) Regulations 2021 s51(1)(d)*, information subject to an obligation of confidentiality at law, or in equity.

17.5 Annual Audited Financial Statements - The report will conducted in accordance with the *Local Government Act 2019* and *Local Government (General) Regulations 2021 s51(1)(c)(iv) (e)*, information that would, if publicly disclosed, be likely to prejudice the interests of council or some other person; AND information provided to the council on condition that it be kept confidential and would, if publicly disclosed, be likely to be contrary to the public interest.

The meeting moved to the Confidential Items at 11:07am.

RETURN TO OPEN

RECOMMENDATION:

That pursuant to section 99(2) and 293(1) of the *Local Government Act 2019* and section 51(1)(a) of the *Local Government (General) Regulations 2021* the meeting be re-opened to the public.

The meeting re-opened to the public at 12:28pm.

18 ITEMS FOR NEXT MEETING

Nil.

19 CLOSE OF MEETING

The meeting closed at 12:30 pm.

This page and the preceding pages are the minutes of the Audit and Risk Committee Meeting held on Monday, 31 October 2022 and will be confirmed Wednesday, 18 January 2023.

Chairperson Ian SWAN
Confirmed on Wednesday, 18 January 2022